

Patent Intelligence As An Effective Strategic Decision Support Tool For Universities

Ridhma Dhar September 24, 2020

WEBINAR: PATENT INTELLIGENCE AS AN EFFECTIVE STRATEGIC DECISION SUPPORT TOOL FOR UNIVERSITIES



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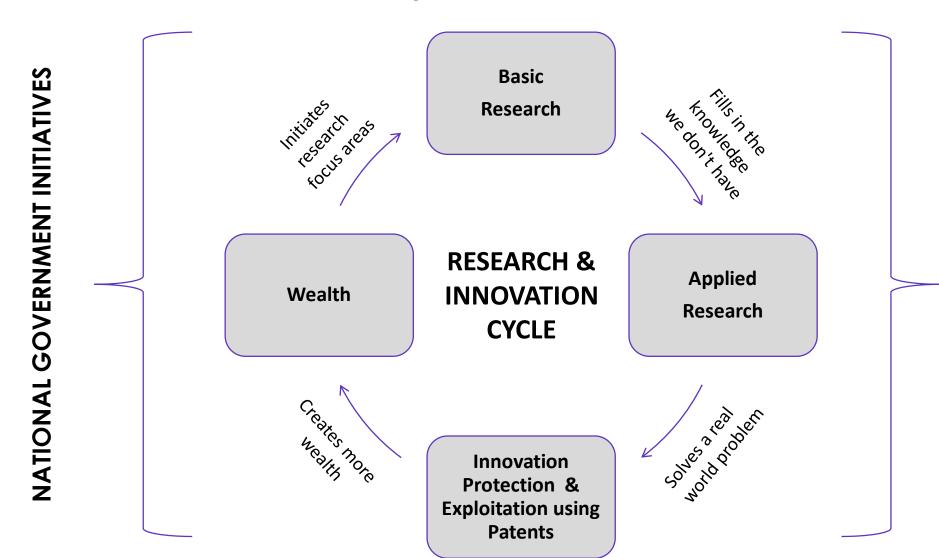


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ROLE OF PATENTS IN ACADEMIA

Research & Innovation Eco-system



INDUSTRY ACADEMIA LINKAGES

Traditional View of Research



BASIC RESEARCH

SCIENTIFIC APPLICATION

IMPROVE SCIENCE

OPEN (PEER REVIEW)

PUBLISHING



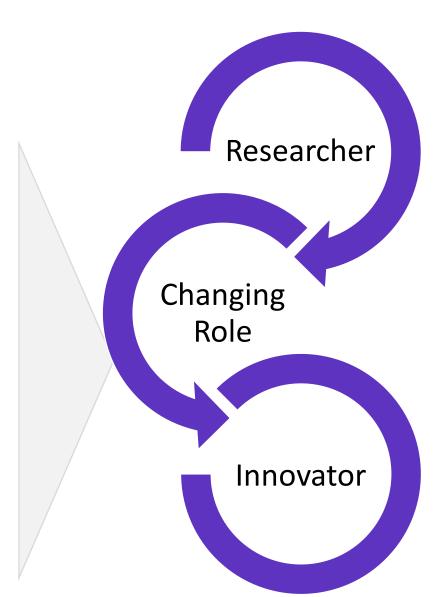
APPLIED RESEARCH

COMMERCIAL APPLICATION

IMPROVE COMMERCIAL VALUE

PROTECTED

PATENTING



Changing Landscape



Boundaries between scientific & applied research are blurring

Creation & management of knowledge is changing fast

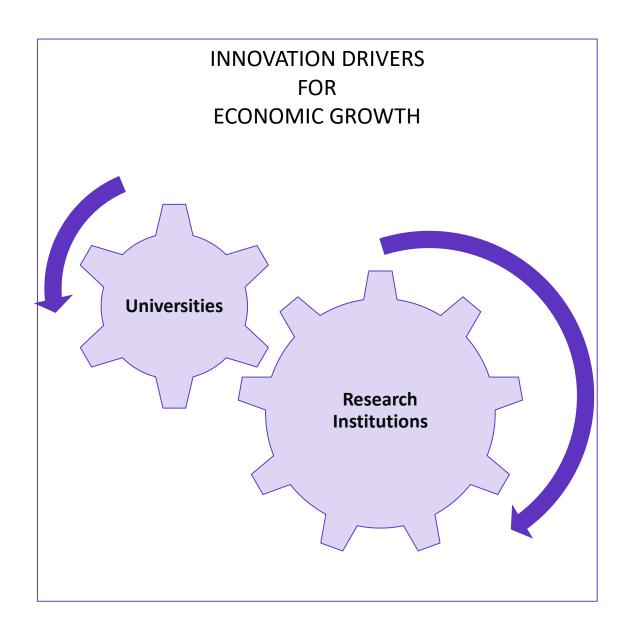




Industry-Academic collaboration is encouraged

Extraction of value from IP is gaining traction



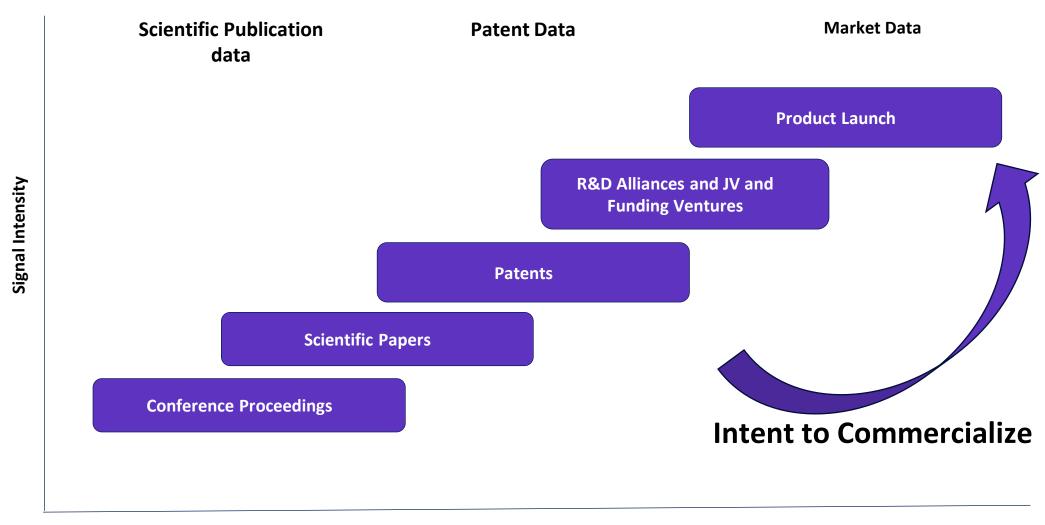


Inventions that Changed Our World

INVENTIONS THAT CHANGED THE WORLD			
1922	Insulin	University of Toronto	
1938	Electron Microscope	University of Toronto, Siemens	
1939	Penicillin	Oxford University	
1942	Ultrasound	University of Vienna	
1943	Pap Smear	Cornell University	
1958	Pacemaker	University of Minnesota	
1963	Seatbelt	University of Minnesota	
1989	Cystic Fibrosis Gene	The Hospital for Sick Children, Toronto University of Michigan	
1996	Google	Stanford University	
1996	HIV Anti-viral Therapies	Emory University	
2000	Combination of PET/CT Scanner	University of Pittsburgh	

A C A D E M I

From Lab to Market

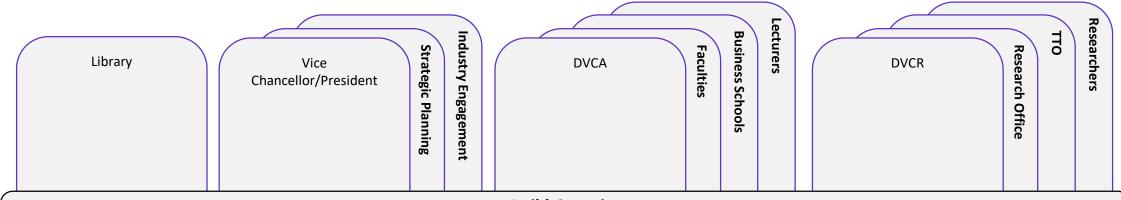


Patents as an Important Indicator of Innovation

<i>30</i>	%	OF ALL EXPENDITURE IN R&D IS WASTED ON REDEVELOPING EXISTING INVENTIONS
<i>80</i>	%	OF CURRENT TECHNICAL KNOWLEDGE CAN BE FOUND IN PATENT DOCUMENTS
70	%	OF NEW (CHEMICAL) SUBSTANCES ADDED TO THE REGISTRY FROM THE LITERATURE COME FROM PATENTS

PATENTS ARE A VALUABLE SOURCE OF INFORMATION

University Eco-system Organization Structure



Build Capacity

Expertly written, classified and indexed by chemistry, engineering, life-science and electronics specialists of more than 19.5 million inventions from over 50 Patent issuing authorities in English

Identify Trends

Identifying potential research collaborators or industry partners/ identify emerging areas of technology development to strategically position the faculty research into the future; map trends in technology developments, thus avoiding duplication of research effort and resources

Cultivate strategic collaborations

Identify, assess and connect with best industrial partners; provide names of organisations and/or inventors for a university to speak with when travelling overseas

Analyse & benchmark performance

Demonstrate university's impact on local, regional and national economy; benchmark against peers based on number of patented inventions – not patent counts; collect patent citations for all the patented inventions quickly including their relevance

Looking for Answers

DVCR



Which technologies must be patent protected?

Scientific Diversity?

Measure output in the form of Patents/Products?

Identifying partners, licensees?

DVCA



How do we track new inventions?

Identifying overlapping technologies?

Map university patent volumes by categories?

VICE CHANCELLOR/PRESIDENT

Emerging Research?

How to link innovation to economic development?

Funding incentives?

Technology Trends?

How to measure impact of Government funding?

LIBRARY

Conduct comprehensive prior-art searches?

How to define invention disclosures?

Source of Technical Literature?





Effective Use of Patent Information across Departments

DVCR

Identify and Monitor Potential Research Partners & Collaborators



- 2. Map university patent volume and spin-out companies
- 3. Identify industry partners, licensees, adjacent and overlapping technology

DVCA



- 1. Prepare students with the skills and knowledge to extract intelligence from intellectual property analysis when shifting to industry in the knowledge-driven economy
- 2. Alerted when collaborators or competition patents a new inventions in a specific technology area

VICE CHANCELLOR/PRESIDENT

- 1. Track the flow of knowledge from universities scholarly output to the patent system
- 2. Patent Citation Analysis for impact and quality
- 3. Identifying Emerging Research & Technology Trends
- 4. Track the influence of a Researcher on Industry

LIBRARY

- Identifying & Inform Researchers of additional technical literature associated with an area of research
- 2. Help define invention disclosures to the technology transfer office
- 3. Support Prior-Art Search



Other Factors to Consider

- Due-diligence on proposals from universities and corporations
- Assessing proposed research against wider landscape (typically global in nature)

Informed Funding Decisions



- Measure the overall success of funding based on research output
- Measure impact of academic research Vs. country's economic growth

Assessing
Research Output
Periodically



- Benchmark Key
 Performance Indicators
 (KPI) at country level
- Explore linkage between innovation and economic development

Updating Policy Decisions



- Finding partners
- Finding licensing opportunities
- Finding markets for your technology

Exploring Industry-Science Linkages

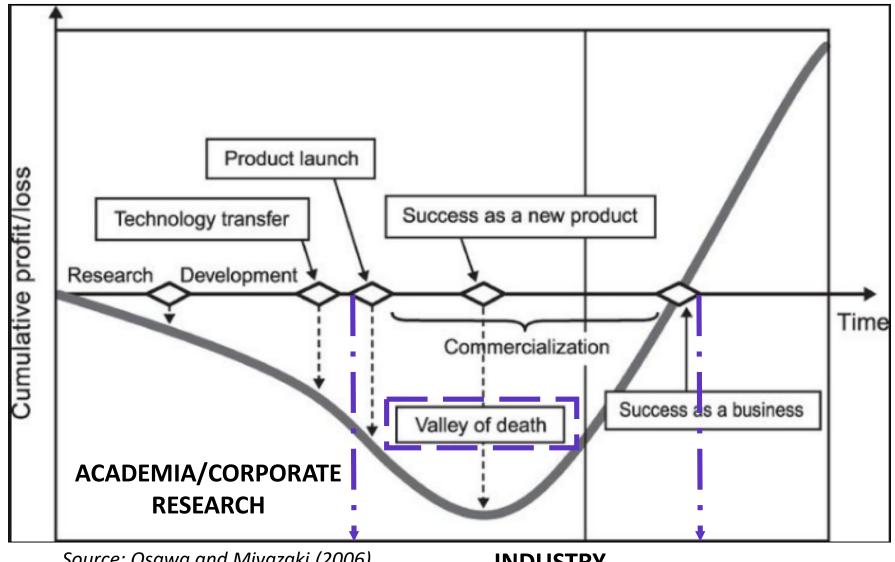




TECHNOLOGY TRANSFER PROCESS

NEEDS OF THE INDUSTRY

Valley of Death



IMPACT

Source: Osawa and Miyazaki (2006)

INDUSTRY



Technology Development



Academia

- PROOF OF CONCEPT PROTOTYPE
- LONG-TERM OPPORTUNITIES



Industry

- READY-MADE TECHNOLOGY
- NEAR TERM OPPORTUNITIES

Market Readiness



Academia

- EARLY STAGE TECHNOLOGY
- INSUFFICIENT MARKET DUE DILIGENCE



Industry

- MARKET READY TECHNOLOGY
- CONSUMER DEMAND

Risk Management



Academia

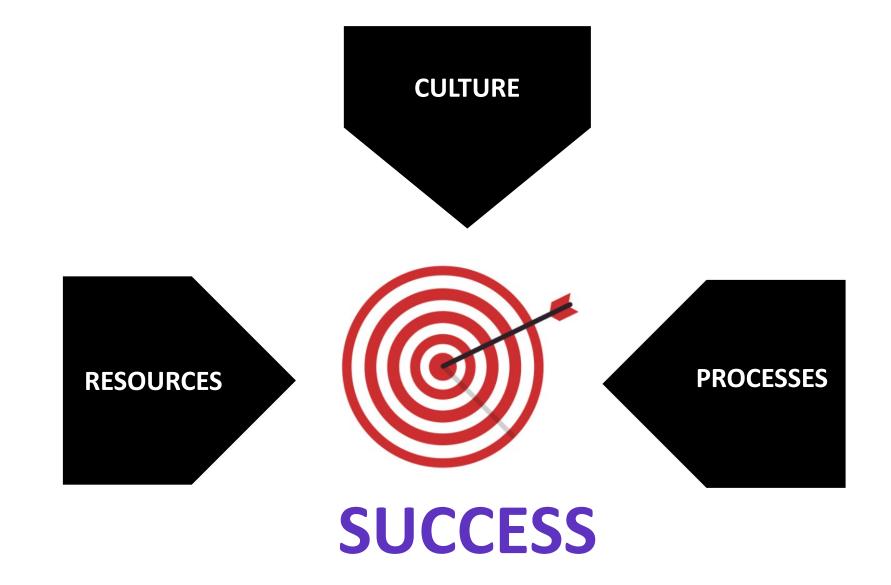
- LIMITED WARRANTY TO LICENSEES
- LIMITED CONTROL OVER END PRODUCT



Industry

LACK OF WARRANTY =
SIGNIFICANT COST & HIGH
RISK

Key Success Factors



Resources

Proof of Deshpande Centre at the MIT Concept School of Engineering Centers **RESOURCES** Technology Incubation Scheme

Early Stage Incubators

Y Combinator **Golden Gate Ventures**

Early Stage Venture Fund

Technology Enterprise Commercialization Scheme **Public Private** Sector Venture **Programs**

Patent funds

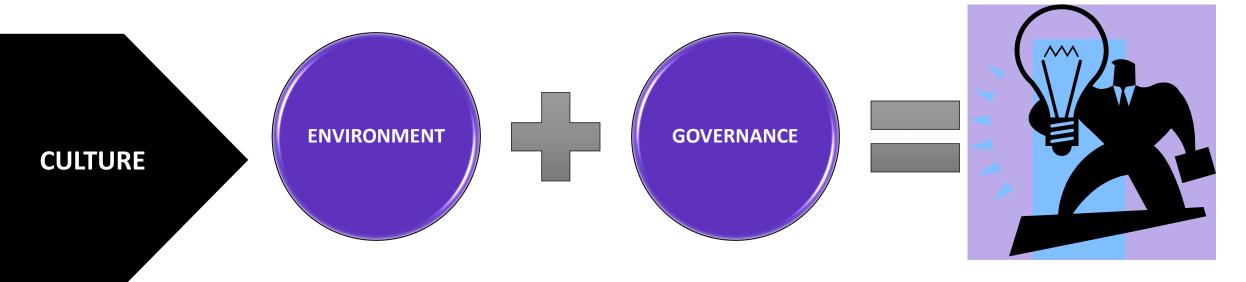
Intellectual Discovery - S Korea IP Bank China IP Bank Taiwan Taiwan Medtech Fund IP Cube Partners - S Korea

Accelerate Technologies, Tech Transfer Office of A*Star Triple Helix Approach

Culture

Driven to pursue scientific publications

KPI's focus on Innovation Input



R&D Projects = Marketing Challenge

Autonomy

Aligning KPI's to focus on Innovation output

Incentives for researchers to focus on commercial outcomes

ACADEMIC ENTREPRENEUR

Process

PROCESSES



IP STRATEGY

WHAT?

Critical IP's to be developed during R&D Phase

HOW?

- 1. Internally develop
- 2. In-Licensed from External sources
- 3. Co-Developed with a Third Party

MARKET STRATEGY

WHAT?

Market needs

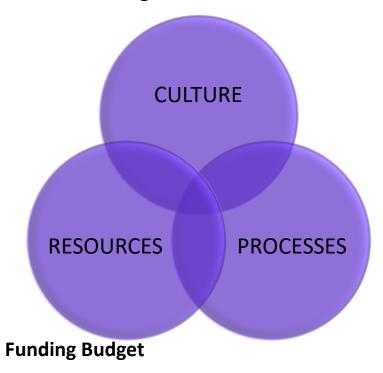
Identify right partners

HOW?

- 1. Market and competitive landscape
- 2. Primary research
- 3.Secondary research

Framework for Success

- 1.Researchers/Scientists
- 2. IP Licensing Professionals



- 1. IP Assessment / Protection
- 2. Commercial Evaluation
- 3. Market Engagement



Meeting Market Needs is the Key



- 1. New Products / Services
- 2. New Start-ups



Effective Technology Transfer Process

Disclosure

Inventor submits disclosure to TTO

- Disclosure checked for completeness
- Specific number assigned

Initial Evaluation

- Ownership
- Publishing
- Initial patentability determination
- Initial glimpse at commercial landscape

Comprehensive Evaluation

Market Evaluation

- Assessment of commercial viability
- Identification of potential targets
- IP Evaluation
- Prior art searches
- Filing strategy

Patent Protection and assertion

Commercialization

- Respond to Office Actions
- Research previously identified target companies
- Carrot or stick licensing
- Evidence of Use

- Contact POC's of potential licensees
- Commence license negotiations with company(s)
- Plans for start-up company
- Receive royalties, and appropriate
- Funds received for future research

Provisional application

International PCT application

PCT National Phase

Patent Grant

PATENT INTELLIGENCE VIA A DECISION SUPPORT TOOL



Derwent World Patents Index (DWPI)

What makes DWPI different?

- **Enhanced titles**
- Comprehensive abstracts
 - Novelty
 - Use
 - Advantage
- Error-corrected bibliographic information
- Editorially enhanced and accurate data
- Global coverage in English

(19) 中华人民共和国国家知识产权局



(12) 发明专利申请



(10) 由请公布号 CN 104125615 A (43) 申请公布日 2014.10.29

(21)申请号 201410387978.8

(22) 由语日 2014 08 07

地址 518129 广东省深圳市龙岗区坂田华为 总部办公楼

(74) 专利代理机构 北京同立約成知识产权代理

HOAW 36/14 (2009. 0)

权利要求书8页 说明书25页 附图4页

(54) 发明名称

双颊自适应并发的处理方法和装置

(57) 摘要

本发明实施钢提供一种双额自适应并发的是 理方法和装置。本发明实施例中,通过采用第一频 段业务和所述第二频段业务在第N个调整周期中 的统计信息,可以获取每个频段业务在该调整周 期中的业务性能,基于该统计信息以及两个辅助 业务所需激足的 QoS 需求, 可以确定两个规段业 各在第 N+1 个调整周期中所占时隙的第二比例系 第 N+1 个调整周期中对所述第一通路和所述第二 通路进行切掩控制,进而尺可能满足两个颓毁业 务的质量需求,提高业务质量



經濟宣的所別第二比例系聚,不辨述第N+1 計劃發展期中对解述第一組第和所述第一組第 打切換控制,使原述第一組四和則述第一組 自在所述第N+1可繼與用中分展的申贈之比 等于用述那、比例系數

Understanding a Techno-Legal Document Easily

Record View: CN104125615A

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QUICK VIEW

DWPI Title ?

Double-frequency self-adaption concurrent processing method, involves connecting WLAN device with passage unit, obtaining first proportion coefficient and second proportion coefficient, and determining threshold value

Original Title 🕴

double-frequency adaptive processing method and device (Translation from Clarivate Analytics)

DWPI Abstract

Novelty: The method involves connecting WLAN device with a passage unit. A first service frequency band is equipped with a second service frequency band. Statistical information is transmitted according to an adjusting period unit. A frequency section is determined. A first proportion coefficient and a second proportion coefficient are obtained, where the second service frequency band is a voice service. Counting information is determined. Delay time of the first service frequency band is calculated. Threshold value is determined.

<u>Use</u>: Double-frequency self-adaption concurrent processing method.

Advantage: The method enables satisfying quality requirement of a service frequency band and improving service quality.

Eirst Claim 🧍

 A double-frequency adaptive and processing method, it is applied to wireless broadband WLAN device, wherein the WLAN device comprises: transmitting the first band traffic of the first passage and the second passage for transmitting second frequency service; the method comprises the following steps: respectively obtaining the first frequency band service and service statistic information of the second frequency in the N-th adjusting period, the statistical information indicates each frequency space service performance in the adjusting period, wherein, N is a natural number, according to the statistic information and the first frequency band service and the second band needed by the service to satisfy the service quality QoS requirement, determining the first frequency band service and said second frequency band service occupied time slot in the (N + 1) th adjusting period; the second ratio coefficient, the second ratio coefficient according to the determined, for switching control of the first path and the second path in the (N + 1) th adjusting period, the first path and the second path than the slot in the (N + 1) th adjusting period is equal to the second ratio coefficient.

(Translation from Clarivate Analytics)

DWPI Assignee / Applicant

HUAWEI TECHNOLOGIES CO LTD, (HUAW-C) [5]

DWPI Inventor ?

GAO L; LI W; ZHOU Y

Publication Number / Date ?

CN104125615A / 2014-10-29

CN201410387978A / 2014-08-07

Application Number / Date

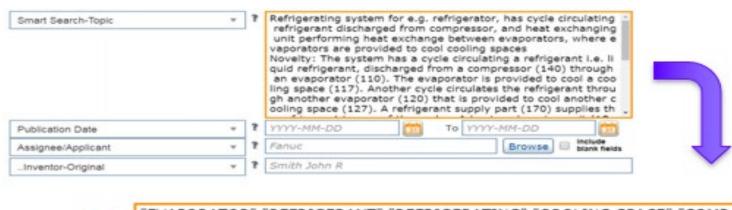
Smart Search

Smart Search significantly reduces the time taken to get to relevant results for any patent activity

- 1. Take any block of text that describes what you are looking for:
 - ☐ Product Description from a website
 - Invention Disclosure
 - ☐ Claims from a Patent
- 2. The algorithm will use the "Smart Themes" (converted from the text)
- 3. Results are returned in relevance ranked order

Job done in five minutes!

Overcome the Biggest Barrier to Patents for a Researcher





"EVAPORATOR" "REFRIGERANT" "REFRIGERATING" "COOLING SPACE" "COMP RESSOR" "REFRIGERATOR" "HEAT EXCHANGE" "BETWEEN EVAPORATORS" "H EAT EXCHANGING" "AIR CONDITIONER" "COOL COOLING" "EFFECTIVELY CO OLS"





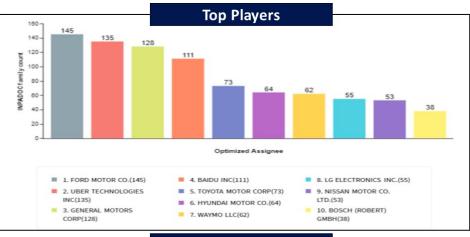
Insights Dashboard

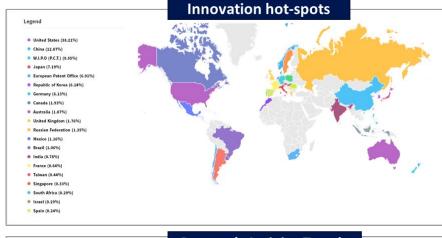
Insights Dashboard provides answers to your questions

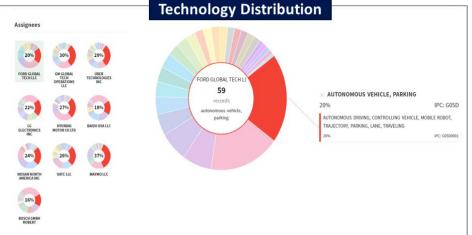
- 1. Who are the major players?
- 2. Where is the technology being developed?
- 3. How is the technology trending?
- 4. What are my competitors working on?
- 5. Which are the most recent technologies?

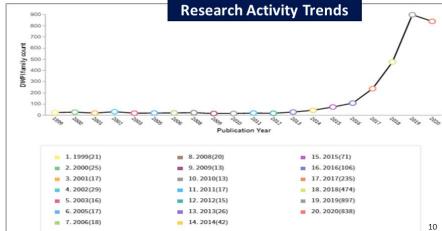
In Just one Click

Gather Key Insights for a Research Area











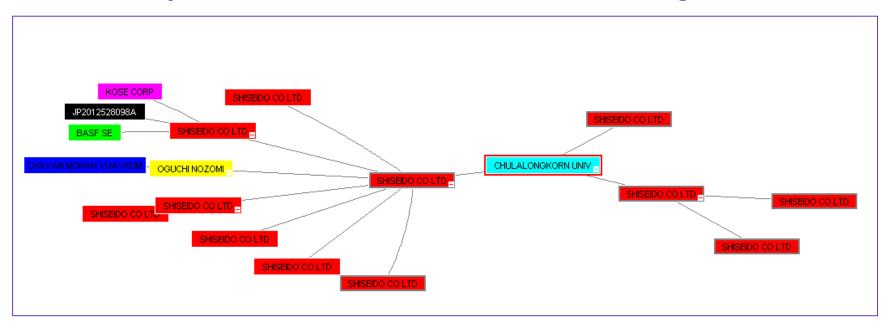
Derwent Patent Citation Index (DPCI)

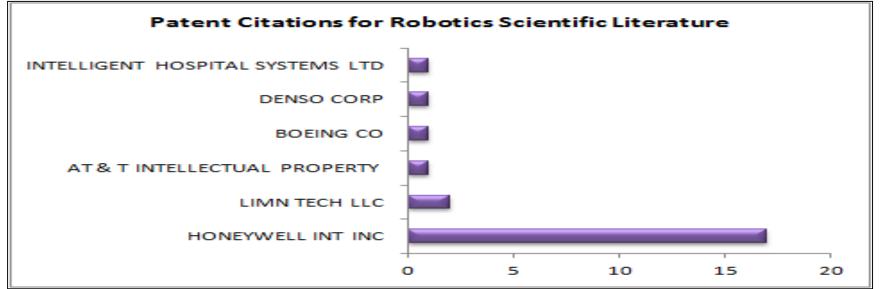
DPCI is the only editorially enhanced database available focusing on patent citations – Making it easy to find closely related patents

- ☐ Shares the same editorial process as DWPI
- All citations are verified for accuracy, ensuring complete citation coverage at the invention level
- These citations cross disciplines to include all technologies and citations, including citations from examiners, inventors, oppositions, and third parties



Drive Industry-Academic Collaboration with Informed Insights





Themescape, Charting, & Visualizations

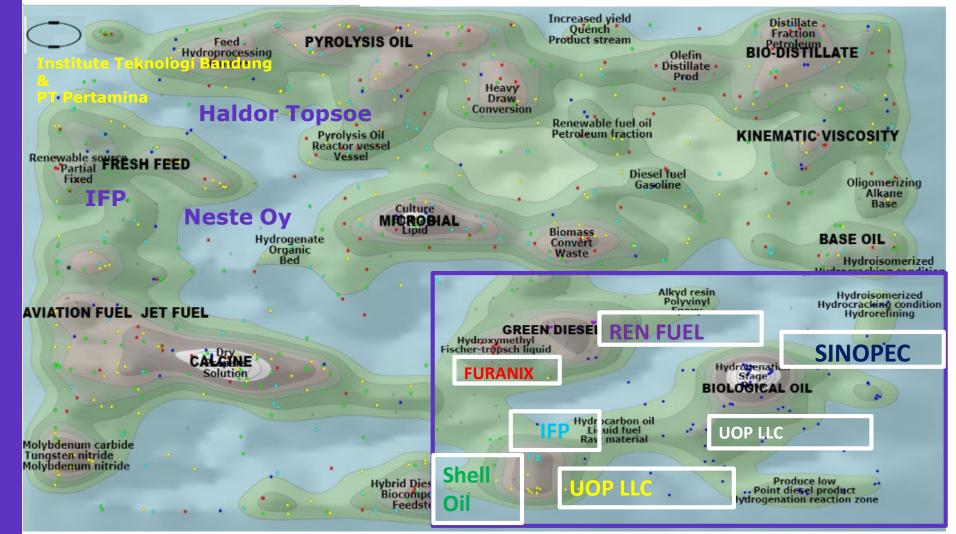
Built-in analytical tools to help you uncover not only the key information you need, but turn raw data into actionable intelligence

- Converts thousands of documents into a clear picture, helping you spot key competitors, technologies, and trends
- Allows you to view data topographically and identify common themes
- □ Patent and Literature
 comparison comparing a
 patent and literature map on the
 same technology in order to
 identify new technologies and
 partnerships, and potential
 commercialization opportunities

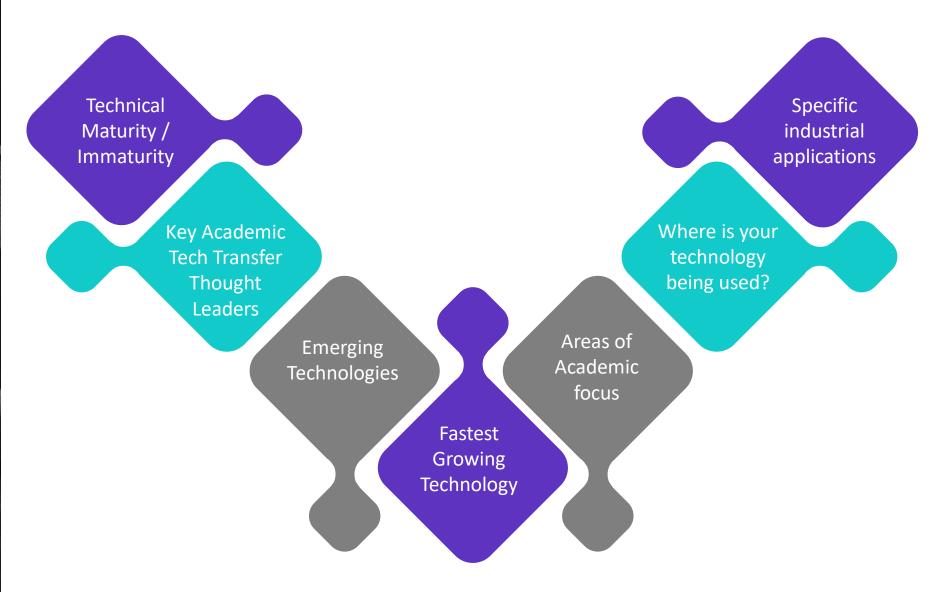


Understand Areas of Focus on a Technology Landscape to Drive Decisions

ITB's Merah-Putih Catalyst Tested at Pertamina's Dumai II Oil Refinery







Derwent Innovation for Decision Support

A smarter, simpler, better patent solution







Content



Derwent World
Patents Index

Smart Search
Patented Search
Technology

Themescape, Charting, & Visualizations

Derwent Patents
Citation Index

Which patents are most relevant to your business? What makes them unique or novel in nature? How to reduce the time spent building patent search queries yet still have the most relevant results sent to the top your list?

How can you quickly identify your main competitors and observe their innovation activities?

Who is most influential in each technology and are there others doing similar work as you?

Al Enhanced Predictive Analytics

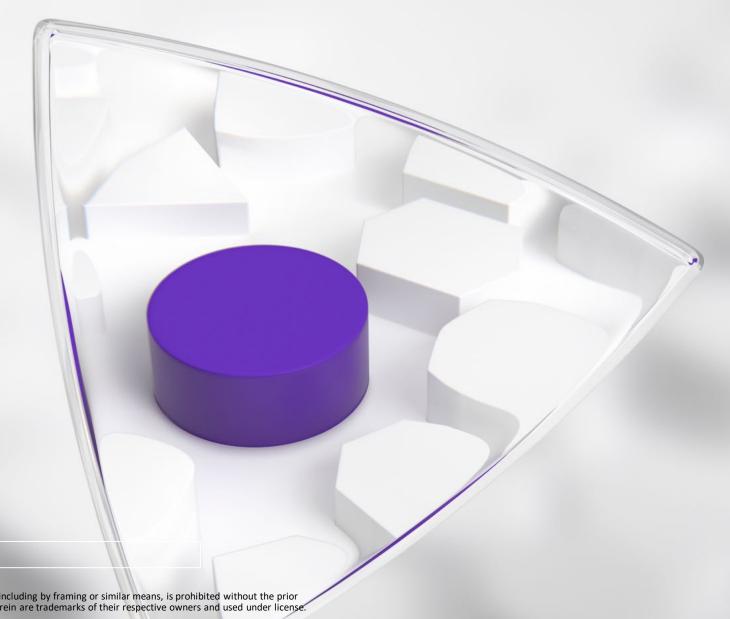


Questions & Answers



Thank you!

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